

A compulsory jurisdiction – provisional levy 2007/08

These are provisional figures which are expected to form part of a separate consultation by the FSA in January 2007.

industry block	description	tariff basis	proposed tariff rate	2006/07 tariff rate	proposed minimum levy per firm £	proposed total	2006/07 total	proposed contributions by block %	contribution by block % 2006/07
1	deposit acceptors, mortgage lenders and administrators (excluding firms in block 14)	per relevant account	0.009	0.0059	100	£2,516,241	£1,579,296	13.8%	10.0%
2	firms that undertake insurance activities subject to prudential regulation only (excluding firms in blocks 13 & 15)	per £1000 of relevant annual gross premium income	0.065	0.055	100	£1,646,764	£1,403,530	9.0%	8.9%
3	Society of Lloyd's		n/a	n/a	n/a	£28,000	£28,000	0.1%	0.2%
4	firms that undertake insurance activities subject to both prudential and conduct of business regulation (long term life insurers) (excluding firms in block 15)	per £1000 of relevant adjusted annual gross premium income	0.142	0.124	100	£7,075,924	£5,596,540	38.7%	35.5%
5	fund managers (including those holding client money/assets and not holding client money/assets)	per £1000 relevant funds under management	0.0005	0.0007	100	£356,881	£495,325	2.0%	3.1%
6	operators, trustees and depositaries of collective investment schemes	flat fee	0	0	75	£16,750	£36,225	0.1%	0.2%
7	dealers as principal	flat fee	0	0	50	£13,850	£25,500	0.1%	0.1%
8	advisory arrangers, dealers or brokers holding and controlling client money and/or assets	per relevant approved person	150	160	150	£3,822,600	£3,982,800	20.9%	25.3%
9	advisory arrangers, dealers or brokers not holding and controlling client money and/or assets	per relevant approved person	50	45	50	£1,503,000	£1,267,300	8.2%	8.0%
10	corporate finance advisors	flat fee	0	0	50	£26,000	£50,500	0.1%	0.3%
13	cash plan health providers	flat fee	0	0	50	£600	£850	0.0%	0.0%
14	credit unions	flat fee	0	0	50	£27,550	£28,100	0.2%	0.2%
15	friendly societies whose tax exempt business represents 95% or more of their total relevant business	flat fee	0	0	50	£4,550	£7,200	0.0%	0.0%
16	mortgage lenders, advisers and arrangers	flat fee	0	0	50	£367,250	£365,600	2.0%	2.3%
17	general insurance mediation	flat fee	0	0	50	£873,900	£937,300	4.8%	5.9%
	total – all blocks					£18,279,860	£15,804,066		