

A: compulsory jurisdiction – provisional levy 2008/09

These are provisional figures which are expected to form part of a separate consultation by the FSA in January 2008.

| industry block | Description | tariff basis | proposed tariff rate | 2007/08 tariff rate | proposed minimum levy per firm £ | proposed total | 2007/08 total | proposed contributions by block % | contribution by block % 2007/08 |
|----------------|---|--|----------------------|---------------------|----------------------------------|----------------|---------------|-----------------------------------|---------------------------------|
| 1 | deposit acceptors, mortgage lenders and administrators (excluding firms in block 14) | per relevant account | 0.0178 | 0.009 | 100 | £4,762,973 | £2,516,421 | 24.1 | 10.0 |
| 2 | firms that undertake insurance activities subject to prudential regulation only (excluding firms in blocks 13 & 15) | per £1000 of relevant annual gross premium income | 0.165 | 0.065 | 100 | £4,118,475 | £1,646,764 | 20.7 | 8.9 |
| 3 | Society of Lloyd's | | n/a | n/a | n/a | £38,000 | £28,000 | 0.2 | 0.2 |
| 4 | firms that undertake insurance activities subject to both prudential and conduct of business regulation (long-term life insurers) (excluding firms in block 15) | per £1000 of relevant adjusted annual gross premium income | 0.073 | 0.12 | 100 | £4,667,569 | £7,075,924 | 23.0 | 35.5 |
| 5 | fund managers (including those holding client money/assets and not holding client money/assets) | flat fee | 0 | 0.0005 | 200 | £187,000 | £356,881 | 1.0 | 3.1 |
| 6 | operators, trustees & depositaries of collective investment schemes | flat fee | 0 | 0 | 75 | £30,675 | £16,750 | 0.1 | 0.2 |
| 7 | dealers as principal | flat fee | 0 | 0 | 75 | £19,125 | £13,850 | 0.1 | 0.1 |
| 8 | advisory arrangers, dealers or brokers holding and controlling client money and/or assets | per relevant approved person | 130 | 150 | 130 | £3,006,510 | £3,822,600 | 15.0 | 25.3 |
| 9 | advisory arrangers, dealers or brokers not holding and controlling client money and/or assets | per relevant approved person | 50 | 45 | 50 | £1,562,400 | £1,503,000 | 7.5 | 8.0 |
| 10 | corporate finance advisers | flat fee | 0 | 50 | 75 | £35,775 | £26,000 | 0.2 | 0.3 |
| 13 | cash plan health providers | flat fee | 0 | 50 | 50 | £650 | £600 | 0.0 | 0.0 |
| 14 | credit unions | flat fee | 0 | 50 | 50 | £25,800 | £27,550 | 0.2 | 0.2 |
| 15 | friendly societies whose tax-exempt business represents 95% or more of their total relevant business | flat fee | 0 | 50 | 50 | £4,100 | £4,100 | 0.0 | 0.0 |
| 16 | mortgage lenders, advisers and arrangers | flat fee | 0 | 50 | 60 | £457,800 | £367,250 | 2.5 | 2.3 |
| 17 | general insurance mediation | flat fee | 0 | 50 | 60 | £985,020 | £873,900 | 5.5 | 5.9 |
| | total – all blocks | | | | | £19,901,872 | £18,279,860 | | |