

compulsory jurisdiction – general levy table (this table is included for information only, and is part of the FSA's consultation paper CP 161)

industry block	tariff basis	proposed tariff rate	minimum levy	total
1 Deposit acceptors, mortgage lenders and administrators (excl FOS14)	Per relevant account	£ 0.0125	£ 200	£2,618,000
2 Insurance Activities subject to prudential regulation only (exc FOS13 & 15)	Per £1000 of relevant annual gross premium income	0.116	200	£2,113,440
3 Society of Lloyds		n/a	n/a	£88,060
4 Insurance Activities, prudential and conduct of business regulation (exc FOS15)	Per £1000 of relevant adjusted annual gross premium income	0.092	100	£4,522,000
5 Fund Managers, holding/not holding client money or assets	Per £1000 relevant funds under management	0.00187	100	£880,600
6 Operators, Trustees and Depositories of collective investment schemes	Per £ relevant annual gross income	0	75	£28,875
7 Dealers as Principal	Per relevant trader	0	75	£35,100
8 Advisory arrangers, dealers or brokers holding and controlling client money or assets	Per relevant approved person (RAP)	35 (Per RAP)	75	£833,000
9 Advisory Arrangers, dealers or brokers NOT holding/controlling client money or assets	Per relevant approved person (RAP)	20 (Per RAP)	75	£714,000
10 Corporate Finance Advisers	Per relevant approved person (RAP)	0	75	£46,350
11 Execution-Only Arrangers, Dealers or Brokers	Per £ relevant annual commission or fee income	0	75	£3,225
13 Cash Plan Health Providers	Per £1000 of relevant annual gross premium income	0	50	£1,150
14 Credit Unions	Per £ gross assets	0	50	£34,400
15 Friendly Societies (whose tax exempt business is 95%+ of total relevant business)	Per £ relevant annual gross premium income	0	50	£9,350