

A: compulsory jurisdiction – provisional levy 2008/09

These are provisional figures which are expected to form part of a separate consultation by the FSA in January 2008.

industry block	Description	tariff basis	proposed tariff rate	2007/08 tariff rate	proposed minimum levy per firm £	proposed total	2007/08 total	proposed contributions by block %	contribution by block % 2007/08
1	deposit acceptors, mortgage lenders and administrators (excluding firms in block 14)	per relevant account	0.0178	0.009	100	£4,762,973	£2,516,421	24.1	10.0
2	firms that undertake insurance activities subject to prudential regulation only (excluding firms in blocks 13 & 15)	per £1000 of relevant annual gross premium income	0.165	0.065	100	£4,118,475	£1,646,764	20.7	8.9
3	Society of Lloyd's		n/a	n/a	n/a	£38,000	£28,000	0.2	0.2
4	firms that undertake insurance activities subject to both prudential and conduct of business regulation (long-term life insurers) (excluding firms in block 15)	per £1000 of relevant adjusted annual gross premium income	0.073	0.12	100	£4,667,569	£7,075,924	23.0	35.5
5	fund managers (including those holding client money/assets and not holding client money/assets)	flat fee	0	0.0005	200	£187,000	£356,881	1.0	3.1
6	operators, trustees & depositaries of collective investment schemes	flat fee	0	0	75	£30,675	£16,750	0.1	0.2
7	dealers as principal	flat fee	0	0	75	£19,125	£13,850	0.1	0.1
8	advisory arrangers, dealers or brokers holding and controlling client money and/or assets	per relevant approved person	130	150	130	£3,006,510	£3,822,600	15.0	25.3
9	advisory arrangers, dealers or brokers not holding and controlling client money and/or assets	per relevant approved person	50	45	50	£1,562,400	£1,503,000	7.5	8.0
10	corporate finance advisers	flat fee	0	50	75	£35,775	£26,000	0.2	0.3
13	cash plan health providers	flat fee	0	50	50	£650	£600	0.0	0.0
14	credit unions	flat fee	0	50	50	£25,800	£27,550	0.2	0.2
15	friendly societies whose tax-exempt business represents 95% or more of their total relevant business	flat fee	0	50	50	£4,100	£4,100	0.0	0.0
16	mortgage lenders, advisers and arrangers	flat fee	0	50	60	£457,800	£367,250	2.5	2.3
17	general insurance mediation	flat fee	0	50	60	£985,020	£873,900	5.5	5.9
	total – all blocks					£19,901,872	£18,279,860		